

2011
Disclosure of Information for Professional Service Provider to North Versailles
Township's Municipal Pension System
Professional Service Provider: Mockenhaupt Benefits Group

Act 44 of 2009 requires annual disclosure of certain information by individuals or entities that hold contracts with and provide professional services to a municipal pension system. Please complete and return this disclosure to the department as soon as possible. If you feel you are not obligated to complete the disclosure, please provide written explanation. Responses should be directed to:

Allison D. Serakowski
Township Secretary
North Versailles Township
1401 Greensburg Avenue
North Versailles, PA 15137

Section 1 - Definitions:

The following terms are defined within Section 701-A of Act 44 of 2009.

Affiliated Entity: Any of the following:

1. A subsidiary or holding company of a lobbying firm or other business entity owned in whole or in part by a lobbying firm;
2. An organization recognized by the Internal Revenue Service as a tax-exempt organization under section 501(c) of the Internal Revenue Code of 1986 (Public Law 99-514, 26 U.S.C. § 501(c)) established by a lobbyist or lobbying firm or an affiliated entity.

Contractor: A person or an affiliated entity that has a professional services contract with a municipal pension system

Contributions: As defined in section 1621 of the act of June 3, 1927 (P.L. 1333, No. 320), known as the Pennsylvania Election Code.

Executive Level

Employee:

An employee of a person or the person's affiliated entity who:

1. Can affect or influence the outcome of the person's or affiliated entity's actions, policies or decisions relating to pensions and the conduct of business with a municipality or a municipal pension system; or
2. Is directly involved in the implementation or development of policies relating to pensions, investments, contracts or procurement or to the conduct of business with a municipality or a municipal pension system.

Political

Committee:

As defined in section 1621 of the act of June 3, 1937 (P.L. 1333, No. 320), known as the Pennsylvania Election Code.

Professional

Services Contract: A contract to which the municipal pension system is a party that is:

1. For the purchase or provision of professional services, including investment services, legal services, real estate services and other consulting services; and
2. not subject to a requirement that the lowest bid is accepted.

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Section 2 - Municipal Officials:

The following individuals are identified as pension system officials and employees to be considered as you complete the disclosure.

GEORGE BESWICK
F. J. BIVINS
DENNIS J. DULL
RUTH S. GRIMES
SAMUEL JULIANO
ART MARRA
DARYL MITCHELL

Section 3 – Individuals Providing Professional Services and Affiliated Entities:

A. Please provide names and titles of all individuals who provide professional services to the municipal pension plan.

William C. Asay, CEBS – President & CEO
David H. Stimpson, E.A., M.A.A.A. – Vice President of Actuarial Services
Carrie Troutman, CEBS – Consultant
Christopher D. Anderson, CEBS – Actuarial Analyst

B. Please identify any Affiliated Entity (name and title) of your company.

N/A

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Section 4 - Disclosure:

Table with 4 columns: Question, Yes, No. Contains 3 rows regarding individuals who will provide services under the contract.

Table with 4 columns: Question, Yes, No. Contains 5 rows regarding the firm and affiliated entities.

6. For the contribution(s) disclosed in your answer to No. 1 above, provide the following information: (attach additional pages if necessary):

- Name and address of the contributor:
Contributor's relationship to the Contractor:
Name and office or position of each person receiving a contribution:
Amount of the contribution:
Date of the contribution:

We understand that knowingly making a material misstatement or omission on this form may cause the municipal pension system to void our professional services contract.

Authorized Signature (with handwritten signature)

Date: 08/25/2011