

2011

**Disclosure of Information for Professional Service Provider to North Versailles  
Township's Municipal Pension System  
Professional Service Provider: PNC Institutional Investment Group**

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Act 44 of 2009 requires annual disclosure of certain information by individuals or entities that hold contracts with and provide professional services to a municipal pension system. Please complete and return this disclosure to the department as soon as possible. If you feel you are not obligated to complete the disclosure, please provide written explanation. Responses should be directed to:

Allison D. Serakowski  
Township Secretary  
North Versailles Township  
1401 Greensburg Avenue  
North Versailles, PA 15137

**Section 1 - Definitions:**

The following terms are defined within Section 701-A of Act 44 of 2009.

*Affiliated Entity:* Any of the following:

1. A subsidiary or holding company of a lobbying firm or other business entity owned in whole or in part by a lobbying firm;
2. An organization recognized by the Internal Revenue Service as a tax-exempt organization under section 501(c) of the Internal Revenue Code of 1986 (Public Law 99-514, 26 U.S.C. § 501(c)) established by a lobbyist or lobbying firm or an affiliated entity.

*Contractor:* A person or an affiliated entity that has a professional services contract with a municipal pension system

*Contributions:* As defined in section 1621 of the act of June 3, 1927 (P.L. 1333, No. 320), known as the Pennsylvania Election Code.

*Executive Level*

*Employee:* An employee of a person or the person's affiliated entity who:

1. Can affect or influence the outcome of the person's or affiliated entity's actions, policies or decisions relating to pensions and the conduct of business with a municipality or a municipal pension system; or
2. Is directly involved in the implementation or development of policies relating to pensions, investments, contracts or procurement or to the conduct of business with a municipality or a municipal pension system.

*Political*

*Committee:* As defined in section 1621 of the act of June 3, 1937 (P.L. 1333, No. 320), known as the Pennsylvania Election Code.

*Professional*

*Services Contract:* A contract to which the municipal pension system is a party that is:

1. For the purchase or provision of professional services, including investment services, legal services, real estate services and other consulting services; and
2. not subject to a requirement that the lowest bid is accepted.

**2011**  
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Hills' Municipal Pension System**  
**Professional Service Provider: PNC Institutional Investments**

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**Section 2 - Municipal Officials:**

The following individuals are identified as pension system officials and employees to be considered as you complete the disclosure.

GEORGE BESWICK  
F. J. BIVINS  
DENNIS J. DULL  
RUTH S. GRIMES  
SAMUEL JULIANO  
ART MARRA  
DARYL MITCHELL

**Section 3 – Individuals Providing Professional Services and Affiliated Entities:**

A. Please provide names and titles of all individuals who provide professional services to the municipal pension plan.

- Lori Kinzler, Account Administrator
- Joyce Frydryck, Team Leader
- Steven Shapiro, Portfolio Manager

The following individuals provide managerial oversight of the individuals listed above:

- Mark Leib, Vice President, Managing Director
- Peter Ferrise, Senior Vice President

B. Please identify any Affiliated Entity (name and title) of your company.

N/A

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**Section 4-Disclosure:**

**Regarding individuals who will provide services under the contract:**

		Yes	No
1.	Are any current or former officials or employees of the municipality?		X
2.	Are any a registered federal or state lobbyist?		X
3.	Have any made a contribution in the past two years to a municipal official or candidate for office at municipality?		X

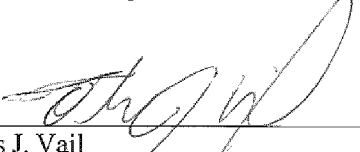
Yes      No

**Regarding your firm and affiliated entities:**

1.	<p>Have you or an Affiliated Entity made any contributions to which all of the following apply:</p> <ul style="list-style-type: none"> <li>a. The contribution was made within the last five years.</li> <li>b. The contribution was made by an officer, director, Executive-Level employee or owner of at least 5% of the firm or Affiliated Entity.</li> <li>c. The amount of the contribution was at least \$500 in the form of               <ul style="list-style-type: none"> <li>i. a single contribution by a person in subparagraph (b) above; or</li> <li>ii. the aggregate of all contributions by all persons in subparagraph (b) above.</li> </ul> </li> <li>d. The contribution was made to:               <ul style="list-style-type: none"> <li>i. candidate for any public office in the Commonwealth or to an</li> <li>ii. individual who holds that office; or</li> <li>iii. a political committee of a candidate for public office in the Commonwealth or of an individual who holds that office.</li> </ul> </li> </ul>	X See #6 for details	
2.	<p>Have you or an Affiliated Entity given any gifts to an official or employee of the municipal pension system or the municipality which controls the municipal pension system?</p> <p>Information is collected by PNC (not including National City entities acquired in December 2008) in the normal course of its business, which until December 17, 2009 required pre-clearance by PNC by any employee of any gifts in excess of \$100 provided to an official or employee of the municipal pension system or the municipality which controls the municipal pension system. On December 17, 2009, the policy was changed to require pre-clearance by PNC of any gift by an employee or director to an official or employee of the municipal pension system or the municipality which controls the municipal pension system.</p> <p>Per our records and as reported in a survey of the employees who are directly responsible for dealing with the municipal pension system and of their supervisors up through the executive management of PNC Bank Institutional Investment Group, no gifts were provided.</p>		X
3.	<p>Do you or an Affiliated Entity employ any third-party intermediary, agent or lobbyist? If "Yes", describe the duties of that person.</p> <p>PNC does not retain or pay any third party to deal with any representatives of the municipal pension system. PNC does engage legal counsel from time to time to represent it in discussions with legal counsel to the municipal pension system.</p>		X
4.	<p>a. Do you or an Affiliated Entity have a direct financial, commercial or business relationship with any official of the municipal pension system or the municipality which controls the pension system?</p> <p>No except for customary banking relationships (checking and savings accounts, investment accounts, loans, trust accounts, etc.) with employees and officials of the municipal pension system.</p>		X

	b. Answer only if the answer to 4a. was "Yes.": Has the municipal pension system consented in writing to the relationship following full disclosure? N/A.		
5.	Do any potential or actual conflicts of interest exist relative to contracting of services with the municipality?		X
6.	<p>For the contribution(s) disclosed in your answer to No. 1 above, provide the following information: (attach additional pages if necessary):</p> <p>Name and address of the contributor:          Contributor's relationship to the Contractor:          Name and office or position of each person receiving a contribution:          Amount of the contribution:          Date of the contribution:</p> <p>In reference to the attached political contribution reports:</p> <p>PNC has taken the following actions to identify information for the following reports. It is possible that some information would not have been identified through these actions, but PNC believes in the circumstances that these actions constitute a good faith effort.</p> <p>For reporting of political contributions made prior to the implementation of PA Act 44 on December 17, 2009, PNC is providing the report entitled <i>PA Contributions 2006-2009</i>. This report reflects all contributions for 2006-2009 previously reported by PNC as to its directors and officers under the provisions of the Pennsylvania Election Code (25 P.S. § 3260a). This includes contributions of \$1,000 or greater by PNC officers designated under Regulation O of the Board of Governors of the Federal Reserve System (12 C.F.R. 215) and directors.</p> <p>For reporting of political contributions after the implementation of PA Act 44 on December 17, 2009, PNC is providing the report entitled <i>PA Contributions 2010</i>. This report reflects all contributions of at least \$500 (singly or in the aggregate) made in 2010 by an officer, director, Executive-Level Employee or owner of at least 5% of the firm or an Affiliate Entity to any candidates for public office or the holders of such offices in the Commonwealth and to any political committees of such candidates or office holders.</p> <p>Note that PNC officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System (12 C.F.R. 215).</p>		

We understand that knowingly making a material misstatement or omission on this form may cause the municipal pension system to void our professional services contract.

  
 \_\_\_\_\_  
 Thomas J. Vail  
 Authorized Signature

8-29-2011  
 \_\_\_\_\_  
 Date:

PA Contributions 2010

Date of Contribution	Name of Contributor	Address of Contributor	Title	Relationship of Contributor to Contractor	Name of Person Receiving Contribution	Recipient Type	Office of Person Receiving Contribution	Amount of Contribution
1/16/2010	Jane Pepper	128 Springton Lake Rd., Media, PA 19063	PNC Director	Self	Greater Philadelphia Chamber of Commerce PAC	PennPAC	PA Senator	\$250
1/16/2010	Jane Pepper	128 Springton Lake Rd., Media, PA 19063	PNC Director	Self	Greater Philadelphia Chamber of Commerce PAC	Political Action Committee (PAC) Other Than a Candidate's Committee		\$250
4/26/2010	Jane Pepper	128 Springton Lake Rd., Media, PA 19063	PNC Director	Self	Dominic Pileggi	Candidate (or Candidates Committee) for State or Local Office		\$1,000
2/17/2010	David Pudlin	Bryn Mawr, PA 19010	Spouse of Domestic Partner of Helen P. Pudlin		Dan Onorato	Candidate (or Candidates Committee) for State or Local Office	Governor	\$500
3/16/2010	Charles Burch	151 Fort Pitt, Pittsburgh PA	PNC Director	Self	Dan Onorato	Candidate (or Candidates Committee) for State or Local Office	Governor	\$1,000
4/8/2010	Charles Burch	151 Fort Pitt, Pittsburgh PA	PNC Director	Self	Tom Corbett	Candidate (or Candidates Committee) for State or Local Office	Governor	\$1,000
7/27/2010	Thomas Usher	26124 Fawnwood Court, Bonita Springs FL 34134	PNC Director	Self	Mike Turzai	Candidate (or Candidates Committee) for State or Local Office	PA House Representative	\$15,000
2/1/2010	James E Rohr	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Dan Onorato	Candidate (or Candidates Committee) for State or Local Office	Governor	\$10,000
2/26/2010	James E Rohr	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Broken Burns	Candidate (or Candidates Committee) for State or Local Office	Washington Co- Commissioner Committee	\$125
3/17/2010	James E Rohr	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Frank Dermody	Candidate (or Candidates Committee) for State or Local Office	PA House Rep	\$1,000
10/14/2010	James E Rohr	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Tom Corbett	Candidate (or Candidates Committee) for State or Local Office	Governor	\$10,000
10/20/2010	James E Rohr	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Mike Doyle	Candidate (or Candidates Committee) for Federal Office	US House of Representatives	\$1,000
10/20/2010	James E Rohr	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Jason Altire	Candidate (or Candidates Committee) for Federal Office	US House of Representatives	\$1,000
10/20/2010	James E Rohr	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Dan Onorato	Candidate (or Candidates Committee) for State or Local Office	Governor	\$1,000
10/20/2010	James E Rohr	613 Dorseyville Rd., Pittsburgh, PA 15238	Chairman & CEO	Self	Michael Lamb	Candidate (or Candidates Committee) for State or Local Office	City of Pittsburgh Controller	\$1,000

## PA Contributions 2006-2009

Name of Contractor: PNC  
 Address of Contractor: 249 Fifth Ave. Pittsburgh PA 15222

Date of Contribution	Name of Contributor	Address of Contributor	Relationship of Contributor to Contractor	Name of Person Receiving Contribution	Office of Person Receiving Contribution	Amount of Contribution
<b>2009</b>						
9/12/2009	Thomas J & Sandra L. Usher	Oakmont, PA 15139	PNC Director & Spouse	Kevin Acklin	Candidate, City of Pittsburgh Mayor	\$5,000
10/9/2009	Sandra L. Usher	Oakmont, PA 15139	Spouse of Thomas J. Usher, PNC Director	Jane Orié-Melvin	PA State Senator	\$1,000
11/1/2009				John Kennedy	Candidate, PA Lieutenant Governor	\$6,000
12/23/2009	Charles E. Bunch	Pittsburgh, PA 15217	PNC Director	Dan Onorato	Candidate, PA Governor	\$2,500
<b>2008</b>						
2/22/2008	Sandra L. Usher	Oakmont, PA 15139	Spouse of Thomas J. Usher, PNC Director	Jim Taylor	Candidate, PA Senate	\$5,000
2/22/2008				Steve McDonald	Candidate, PA Senate	\$5,000
5/22/2008				Tim Krieger	PA House of Representatives	\$1,000
9/1/2008				Jason Davidek	Candidate, PA House of Representatives	\$3,000
9/1/2008				Dave Huffman	Candidate, US Senate	\$5,000
3/8/2008	George A. Davidson, Jr.	Sewickley, PA 15143	PNC Director	Republican Committee of Allegheny County	Republican Committee of Allegheny County	\$1,000
5/23/2008				John Pippy	PA Senate	\$500
3/20/2008	James E. Rohr	Pittsburgh, PA 15238	Chairman & CEO	Run Baby Run	Outreach Group-Women for State House	\$1,000
7/27/2008				Bill DeWeese	PA House of Representatives	\$2,000
7/22/2008				Joe Scarnati	PA Senate	\$1,500
8/1/2008				Dan Onorato	Allegheny County Chief Executive	\$25,000
10/14/2008				Frank Dermody	PA House of Representatives	\$1,500
10/14/2008				John Perzel	PA House of Representatives	\$1,000
10/17/2008				Run Baby Run	Outreach Group-Women for State House	\$500
11/26/2008				Luke Ravenstahl	Pittsburgh Mayor	\$2,500
4/8/2008	David Pudlin	Bryn Mawr, PA 19010	Spouse of Helen Pudlin Senior Vice President and General Counsel	Daylin Leach	PA Senate	\$100
6/16/2008				Michael Nutter	Philadelphia Mayor	\$1,000
10/14/2008				Josh Shapiro	PA House of Representatives	\$200
7/21/2008	Joseph C. Guyaux	Pittsburgh, PA 15215	President	Joe Scarnati	PA Senate	\$1,000
7/27/2008				Bill DeWeese	PA House of Representatives	\$500
<b>2007</b>						
1/26/2007	Jane Pepper	Media, PA 19063	PNC Director	Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$1,000
				Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$250
				Michael Nutter	Philadelphia Mayor	\$250
				Dwight Evans	PA House of Representatives	\$50
				Alice Dubow	Judge - Philadelphia	\$50
				GPCC PennPac	Tom Corbett - PA Attorney General	\$250
2/23/2007	Thomas J. Usher	Oakmont, PA 15139	PNC Director	Young Conservatives of PA	Filed as a 501c4 Civic Youth Organization	\$25,000
				Doug Shields	President - Pittsburgh City Council	\$1,000
				Ron Francis	Ron Francis for Congress	\$1,000
				Maureen Lally-Green	Judge - PA Superior Court	\$6,000
4/25/2007	Sandra L. Usher	Oakmont, PA 15139	Spouse of Thomas J. Usher, PNC Director	Maureen Lally-Green	Judge - PA Superior Court	\$2,500
8/21/2007				Leinbach	For Congress	\$2,500
11/15/2007				Cheryl Allen	Judge - PA Superior Court	\$500
4/22/2007	Helen P. Pudlin & David Pudlin	Bryn Mawr, PA 19010	Sr. VP & General Counsel & Spouse	Alice Beck Dubow	Judge - PA Superior Court	\$500
	Helen P. Pudlin	Bryn Mawr, PA 19010	Sr. VP & General Counsel	Jackie Shogun	Judge - PA Superior Court	\$500
	David Pudlin	Bryn Mawr, PA 19010	Spouse Helen Pudlin, General Counsel	Edward Rendell	PA Governor	\$1,000
4/27/2007	James E. Rohr	Pittsburgh, PA 15238	Chairman & CEO	Maureen Lally-Green	Judge - PA Superior Court	\$1,000
4/7/2007				Michael Lamb	Controller - City of Pittsburgh	\$250
6/20/2007				Dan Onorato	Allegheny County Chief Executive	\$10,000
8/22/2007				POWER	Power of Women Electing Republicans in PA	\$250

Date of Contribution	Name of Contributor	Address of Contributor	Relationship of Contributor to Contractor	Name of Person Receiving Contribution	Office of Person Receiving Contribution	Amount of Contribution
<b>2006</b>						
3/19/2006	Helen P. Pudlin	Bryn Mawr, PA 19010	General Counsel	Robert Jubelirer	PA Senate	\$250
6/13/2006				Edward Rendell	PA Governor	\$3,500
9/17/2006				Olivia Brady	PA House of Representatives	\$100
5/2/2006	James E. Rohr	Pittsburgh, PA 15238	Chairman & CEO	Bob O'Connor	Pittsburgh Mayor	\$2,000
5/9/2006				Run Baby Run	Outreach Group-Women for State House	\$500
8/22/2006				P.O.W.E.R. PAC	Power of Women Electing Republicans in PA	\$200
9/11/2006				SRCC	Senate Republican Campaign Committee	\$500
9/11/2006				Edward Rendell	PA Governor	\$10,000
9/11/2006				Mike Turzai	PA House of Representatives	\$500
9/11/2006				HDCC	House Democratic Campaign Committee	\$500
1/3/2006	Thomas J. Usher	Oakmont, PA 15139	PNC Director	Lynn Swann	Candidate, PA Governor	\$10,000
5/10/2006				Lynn Swann	Candidate, PA Governor	\$10,000
6/14/2006				Lynn Swann	Candidate, PA Governor	\$20,000
4/18/2006				Eileen Watt	Candidate, PA House of Representatives	\$5,000
8/25/2006				Mark Harris	Candidate, PA House of Representatives	\$1,000
4/16/2006	Sandra L. Usher	Oakmont, PA 15139	Spouse of Thomas J. Usher, Director	John Eichelberger	PA Senate	\$5,000
10/2/2006				John Eichelberger	PA Senate	\$1,000
4/16/2006				Mike Folmer	PA Senate	\$5,000
10/3/2006				Mike Folmer	PA Senate	\$1,000
4/16/2006				Young Conservatives of Pa	Filed as a 501c4 Civic Youth Organization	\$5,000
8/14/2006				Young Conservatives of Pa	Filed as a 501c4 Civic Youth Organization	\$5,000
8/28/2006				Republican Committee of Allegheny County	Republican Committee of Allegheny County	\$1,000